



ATES PATENT AND TRADEMARK OFFICE

In re the Application of: Katsumi MIYATA et al.

Serial No.:

09/478,508

Group Art Unit: 2812

Filed:

January 6, 2000

Examiner: Not yet assigned

For:

SEMICONDUCTOR DEVICE AND METHOD OF

MANUFACTURING THE SAME

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Washington, D.C. 20231

April 3, 2000

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the aboveidentified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted an error in that the third inventor's name is omitted. A copy of the declaration is enclosed which indicates the name of the third inventor.

We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, W

McLELAND/&/NAUGH

Attorney for Applicants

Reg. No. 29,988

Atty. Docket No. 991527

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Enclosures: Copy of Filing Receipt; Copy of Declaration

2800 MAIL ROOM

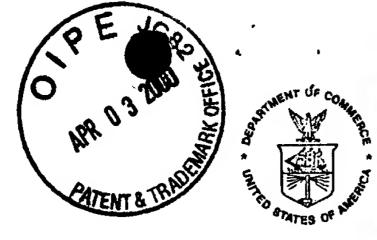
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PTO-103X (Rev. \$3-99)

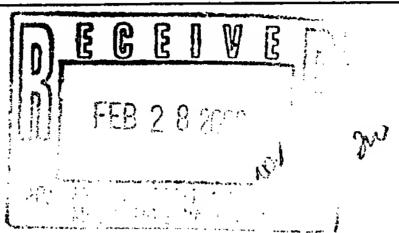
FILING RECEIPT



UNITED STATES DÉPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/478,508 | | | | 991527 | 11 | 15 | 3 |

ARMSTRONG WESTERMAN HATTORI MCLELAND & NAUGHTON 1725 K STREET NW SUITE 1000 WASHINGTON DC 20006



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt Incorporating the requested corrections (if appropriate).

Applicant(s)

KATSUMI MIYATA, AIZUWAKAMATSU-SHI, JAPAN; EIJI WATANABE, KAWASAKI-SHI, JAPAN; HIROYUKI YODA, KAWASAKA-SHI, JAPAN.

FOREIGN APPLICATIONS-

JAPAN

11-118543

04/26/99

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/17/00 TITLE SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME

PRELIMINARY CLASS: 438

RECEIVED
APR 28 2000
TC 2800 MAIL ROOM

DATA ENTRY BY: MASON, JAMES

TEAM: 04 DATE: 02/17/00

Declaration and Power of Attorney for U.S. Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書



下™の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載され た通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願 している発明内容について、私が最初かつ唯一の発明者(下 記の氏名が一つの場合)もしくは最初かつ共同発明者である と (下記の名称が複数の場合) 信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SEMICONDUCTOR DEVICE AND METHOD OF

MANUFACTURING THE SAME

上記発明の明細書(下記の欄でx印がついていない場合は、 本書に添付)は、

the specification of which is attached hereto unless the following box is checked:

国際出願番号を____とし、 (該当する場合) _____ に訂正されました。

was filed on _____ as United States Application Number or **PCT International Application Number** and was amended on (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、 内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されると おり、特許資格の有無について重要な情報を開示する義務が あることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

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Page 1 of 5

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Japanese Language Declaration

(日本語宣言書)

私は、米国法典第35編119条(a)-(d) 項又は365条 (b) 項に基き下記の、 米 国以外の国の少なくとも一ヵ国を指 定している特許協力条約 365(a)項に基于く国際出願、又 は外国での特許出版もしくは発明者証の出版についての外国 優先権をここに主張するとともに、優先権を主張している。 本出版の前に出版された特許または発明者証の外国出版を以 下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)

外国での先行出版 Pat. Appln. No.11-118543 Japan (Country) (Number) (国名) (番号) (Country) (Number) (国名) (番号)

私.と、第35編米国法典119条(e)項に基いて下記の米 国特許出願規定に記載された権利をここに主張いたします。

> (Filing Date) (Application No.) (出類日) (出願番号)

私は、下記の米国法典第35編120条に基いて下記の米 国特許出願に記載された権利、 又は米国を指定している特許 協力条約365条(c)に基ずく権利をここに主張します。ま た、本出願の各請求範囲の内容が米国法典第35編112条 第1項又は特許協力条約で規定された方法で先行する米国特 許出額に開示されていない限り、その先行米国出顧者提出日 以降で本出類書の日本国内または特許協力条約国際提出日宝 での期間中に入手された、連邦規則法典第37編1条56項 で定義された特許資格の有無に関する重要な情報について開 示義務があることを認識しています。

(Filing Date) (Application No.) (出額日) (出願番号) (Filing Date) (Application No.) (出版日) (出類各号)

私は、私自身の知識に基ずいて本宣言書中で私が行なう表 明が真実であり、かつ私の入手した情報と私の信じるところ に基ずく芸明が全て真実であると信じていること、さらに故 道になされた虚偽の表明及びそれと同等の行為は米国法典第 18編第1001条に基ずき、罰金または拘禁、もしくはそ の両方により処罰されること、そしてそのような故意による 虚偽の声明を行なえば、出願した、又は既に許可された特許 の有効性が失われることを認識し、よってここに上記のごと く宣誓を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

> **Priority Not Claimed** 優先権主張なし

26/April/1999 (Day/Month/Year Filed) (出願年月日) (Day/Month/Year Filed) (出類年月日)

I hereby claim the benefit under Title 35. United States Code. Section 119(e) of any United States provisional application(s) listed below.

> (Application No.) (Filing Date) (出類日) (出願番号)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

> (Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned) (現況: 特許許可济、係属中、放棄济)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Japanese Language Declaration (日本語宣言書)

委任状: 私は下記の発明者として、本出額に関する一切の 手続きを米特許商標局に対して遂行する弁理士または代理人 として、下記の者を指名いたします。(弁護士、または代理 人の氏名及び登録番号を明記のこと〉

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number) See list of attorneys and/or agents on page 5.

古類送付先

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| (第三以降の共同発明者についても同様に記載し、暑名をする。 | (Supply similar information and signature for third and subsequent | |
| ること) joint inventors.) | | |

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| 第三発明者の署名 | a the second | Hiroyuki Yoda |
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| 国籍 | | Citizenship |
| 私杏箱 | | Post Office Address |
| | | • |
| 第五共同発明者 | · | Full name of fifth joint inventor, if any |
| 第五発明者の署名 | F付· | Fifth inventor's signature Date |
| 住所 | | Residence |
| 料籍 | | Citizenship |
| 私艺箱 | | Post Office Address |
| | | |
| 第六共同発明者 | | Full name of sixth joint inventor, if any |
| 第六発明者の署名 | 日付 | Sixth Inventor's signature Date |
| 生於 | • | Residence |
| 五雅 | | Citizenship |
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| | · | |

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List of attorneys and/or agents

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